

Summary of COI Policy Changes Effective 7/1/10:

1. Disclosure (new section):

- Disclosures will be published on a public web site at the following link: <https://webapps1.healthcare.uiowa.edu/conflictinterest/public/>
- Beginning July 1, 2010, the following UI Health Care employees must complete the External Relationships Disclosure Form:
 - Faculty employed 50% time or greater
 - P & S Staff employed 50% time or greater
 - SEIU Staff employed 50% time or greater
 - Merit Exempt Staff employed 50% time or greater

2. Core Values (new statement and bullet):

- Unrestricted educational grants can be donated by industry to a department/division or Foundation account
- Employees may not refer anyone to outside businesses for which there is the potential for personal benefit

3. Section I) Gifts

- B) Meals (new bullet):
 - Meals at UI Health Care events are allowable if purchased through a department/division or Foundation account
- C) Travel, Educational Scholarships or Educational Events, Training, Visiting Professorships, and GME Stipends (clarification to first bullet):
 - Industry support for these items and events may only be provided in the form of unrestricted educational grants with monies going directly to the UI Foundation or into a department or division account. While requests for unrestricted grants and gifts may be directed in a specific area, they should be worded in general terms, e.g., “for trainee travel to meetings,” “for trainee educational retreats,” “for grand rounds speakers,” “for support of CME activities,” etc., clearly indicating that department, division, and/or individual recipient(s) will be completely free of any industry influence in all of their decision-making relative to the disbursement of the educational grant.

4. Section II) Professional Services and Affiliations (new section):

- The following professional activities generally do **not** require disclosure (adapted from UI Operations Manual, Section 18.4 Conflict of Commitment):
 - Holding office in, or undertaking an editorial office or duties for a scholarly journal, academic press, or professional organization
 - Serving as a referee for a scholarly journal or an academic press

- Serving on a professional review board or peer review bodies
- Attending or presenting at events sponsored by professional organizations or academic institutions, such as professional meetings, workshops, colloquia, symposia, seminars, or training programs
- Visiting other sites in connection with accreditation, audits, sponsored project reviews, or like activities

5. Section III) Outside Non-Professional Activities (new section):

- Participation in outside *non-professional* activities generally does not require disclosure *unless* the time devoted to them interferes with the employee's University obligations.

6. Section V) Industry Compensation

- “Consulting Expertise” section (bullet added):
 - Consulting agreements that involve the assignment of intellectual property rights must comply with the University of Iowa Intellectual Property Policy: <http://www.uiowa.edu/~our/opmanual/v/30.htm>
- “Speaking and Training for Industry” (new section title, 1st bullet clarified, and bullets added):
 - UI Health Care faculty, staff, and trainees may not participate on industry speakers' bureaus.
 - Faculty, staff, and trainees who provide training and/or educational presentations for industry, Medical Education Communication Companies (MECCs), or similar commercial enterprises must comply with the requirements for consulting agreements. Presentations or training in which the primary purpose is to promote company products is prohibited.
 - Content of training or educational presentations must be controlled by the trainer/educator and must be the original work of the trainer/educator.
- “Attendance at Industry-Sponsored Events” (new section):
 - UI Health Care faculty, staff, and trainees cannot accept any form of compensation (e.g., travel expenses, lodging, meals, or gifts) directly from industry, MECCs, or similar commercial enterprises for attending a professional meeting or conference
 - Educational or promotional programs developed by industry representatives, MECCs, or similar commercial entities are not allowed at a UI Health Care event, whether the event is held inside or outside of UI Health Care facilities
 - All outside speakers must disclose to the audience any relevant relationships they have with industry prior to their

presentation

7. Site Access and Industry Displays (section revised):

- Industry displays must meet the following requirements:
 - Compliance with the UIHC Vendor Policy
 - Permission from the DEO
 - Compliance with the ACCME Standards if the event is a CME program

8. Section VIII) Continuing Medical Education (bullet added):

- Educational grants for CME events must be accompanied by a Written Agreement of Commercial Support signed prior to the event by a representative of the College and a representative of the Company providing the Grant

9. Section IX) Research (bullet added):

- Research-related travel expenses to investigator meetings prior to or subsequent to the establishment of a research contract may be paid for by industry

10. Basic / Non-Clinical Product Evaluation (new section for basic science researchers in the Carver College of Medicine):

- All gifting rules and regulations apply to basic/non-clinical research except where noted below
- No gifts/donations of any value that are personal in nature (e.g., coffee mugs, calendars, tee shirts, food, etc.) may be received
- A vendor may donate laboratory consumables for evaluation purposes only by completing the Product Donation Form available from the UI Purchasing Department
- Donations exceeding \$5,000 (vendor list price or equivalent) must receive prior approval from UI Purchasing by completing the Product Donation Form and returning the form to the Purchasing Department prior to making the donation
- A vendor can offer promotional discounts, but gifts of a personal nature (e.g., gift cards, tee shirts, etc.) cannot be included with the promotion

11. UI Health Care Conflict of Interest annual disclosure requirements and CME annual disclosure requirements are combined into one form

12. Disclosure requirements will require disclosing both for July 1, 2009 – June 30, 2010 and for July 1, 2010 – June 30, 2011. Only the disclosures for the previous reporting period (July 1, 2009 – June 30, 2010) will be published on the public web site.